## Radiation Effects on Electronics 101:

## Simple Concepts and New Challenges

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## **Outline**

- The Space Radiation Environment
- The Effects on Electronics
- The Environment in Action
- Commercial Electronics
  - The Mission Mix
  - Radiation Sensitivity
  - Flight Projects
  - Proactive Research
- Space Validations of Models and Test Protocols
- Final Thoughts

#### **Atomic Interactions**

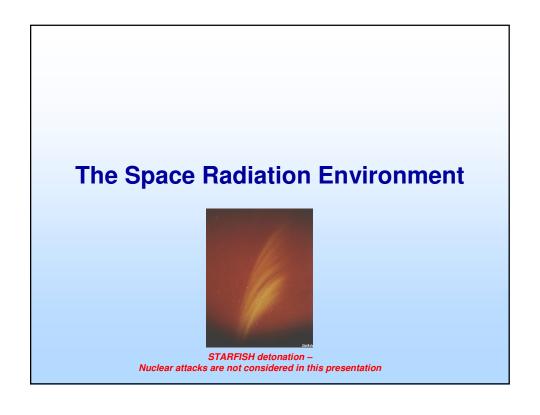
- Direct Ionization

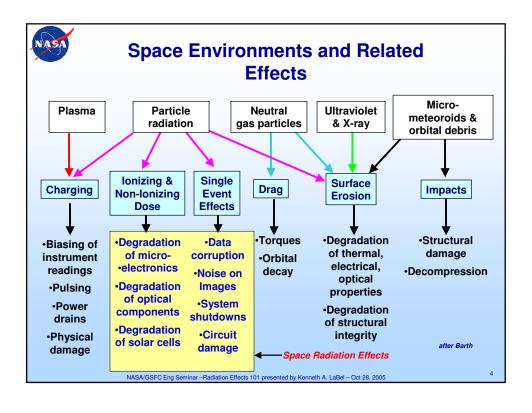
#### **Interaction with Nucleus**

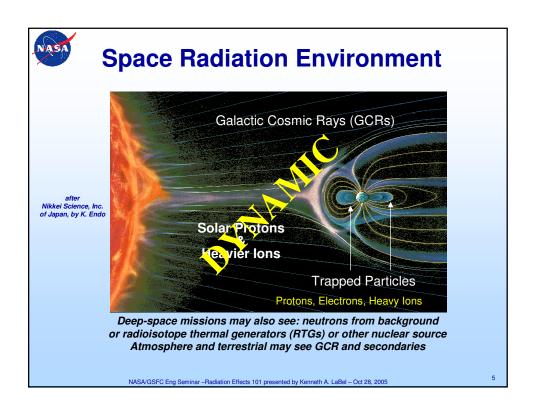
- Indirect Ionization
- Nucleus is Displaced

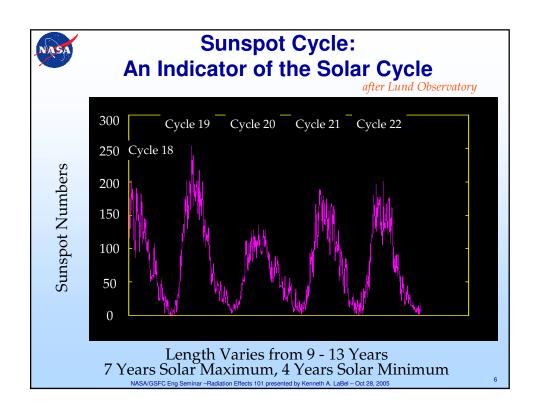
 ${\it http://www.stsci.edu/hst/nicmos/performance/anomalies/bigcr.html}$ 

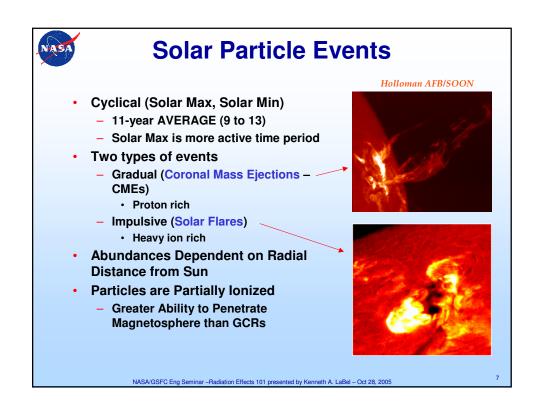
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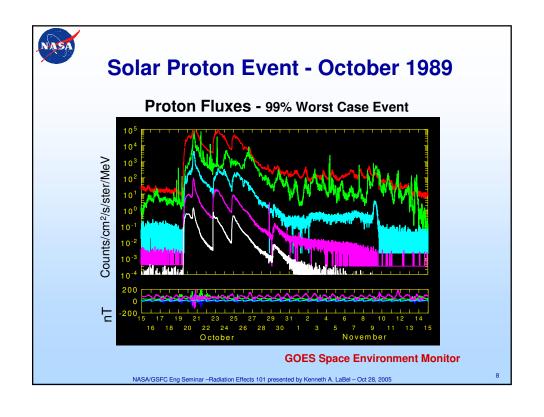


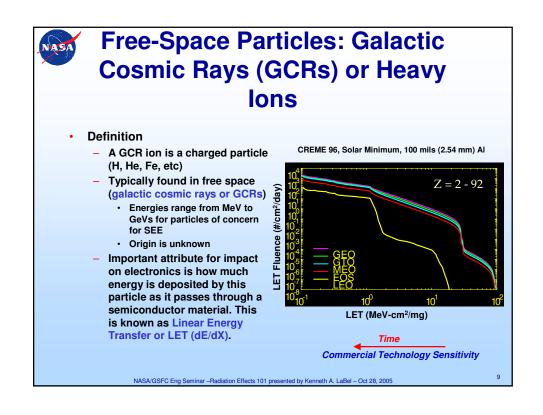


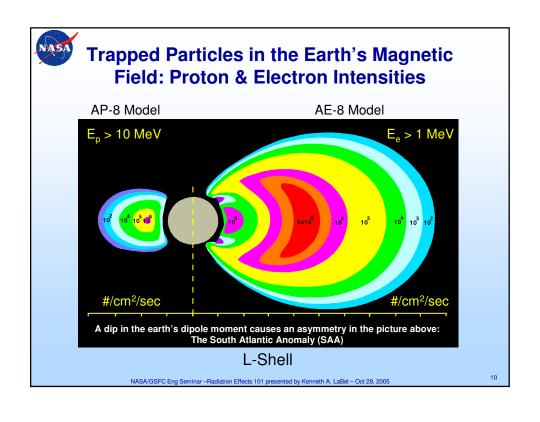


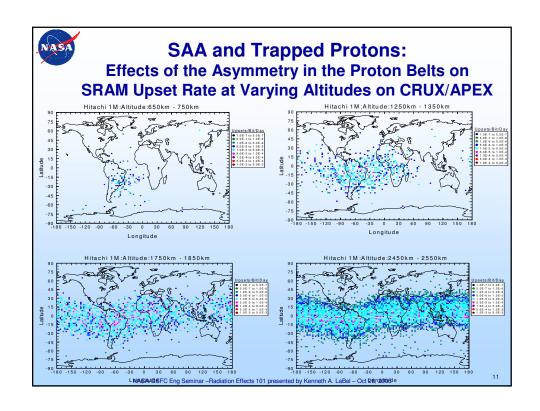


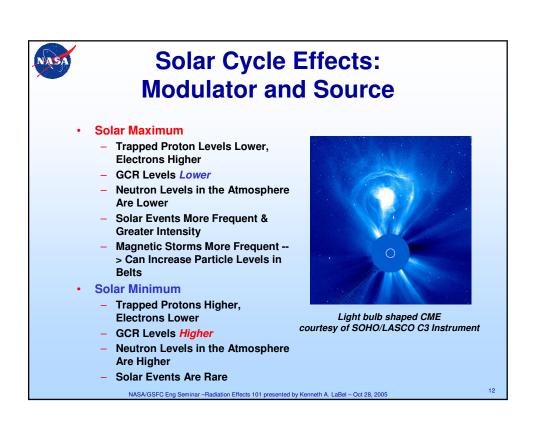




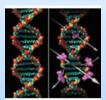








#### The Effects

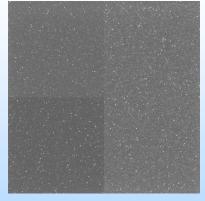


DNA double helix Pre and Post Irradiation Biological effects are a key concern for lunar and Mars missions



## **Radiation Effects and Spacecraft**

- · Critical areas for design in the natural space radiation environment
  - Long-term effects causing parametric and /or functional failures
    - · Total ionizing dose (TID)
    - · Displacement damage
  - Transient or single particle effects (Single event effects or SEE)
    - · Soft or hard errors caused by proton (through nuclear interactions) or heavy ion (direct deposition) passing through the semiconductor http://radhome.gsfc.nasa.gov/radhome/papers/D3\_1030\_2100\_2199.avi material and depositing energy



An Active Pixel Sensor (APS) imager under irradiation with heavy ions at Texas A&M University Cyclotron

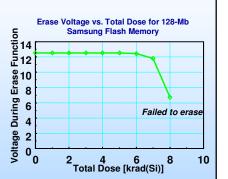


## **Total Ionizing Dose (TID)**

- Cumulative long term ionizing damage due to protons & electrons
  - keV to MeV range
- Electronic Effects
  - Threshold Shifts
  - Leakage Current
  - Timing Changes
  - Functional Failures
- Unit of interest is krads(material)
- Can partially mitigate with shielding
  - Reduces low energy protons and electrons

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A LoBol Oct 28, 2005





## **Displacement Damage (DD)**

- Cumulative long term non-ionizing damage due to protons, electrons, and neutrons
  - keV to MeV range
- · Electronic Effects
  - Production of defects which results in device degradation
  - May be similar to TID effects
  - Optocouplers, solar cells, charge coupled devices (CCDs), linear bipolar devices
    - Lesser issue for digital CMOS
- Unit of interest is particle fluence for each energy mapped to test energy
  - Non-ionizing energy loss (NIEL) is one means of discussing
- Can partially mitigate with shielding
  - Reduces low energy protons and electrons

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## **Single Event Effects (SEEs)**

- An SEE is caused by a single charged particle as it passes through a semiconductor material
  - Heavy ions (cosmic rays and solar)
    - Direct ionization
  - Protons(trapped and solar >10 MeV)/neutrons (secondary or nuclear) for sensitive devices
    - · Nuclear reactions for electronics
    - Optical systems, etc are sensitive to direct ionization
- Unit of interest: linear energy transfer (LET). The amount of energy deposited/lost as a particle passes through a material.
  - Total charge collected may be more appropriate
- **Effects on electronics** 
  - If the LET of the particle (or reaction) is greater than the amount of energy or critical charge required, an effect may be seen
    - · Soft errors such as upsets (SEUs) or transients (SETs), or
    - Hard (destructive) errors such as latchup (SEL), burnout (SI rupture (SEGR)
- Severity of effect is dependent on Destructive event
  - type of effect
  - system criticality
    - -Radiation Effects 101 presented by Kenneth A. LaBel Oct 28, 2005

in a COTS 120V DC-DC Converter





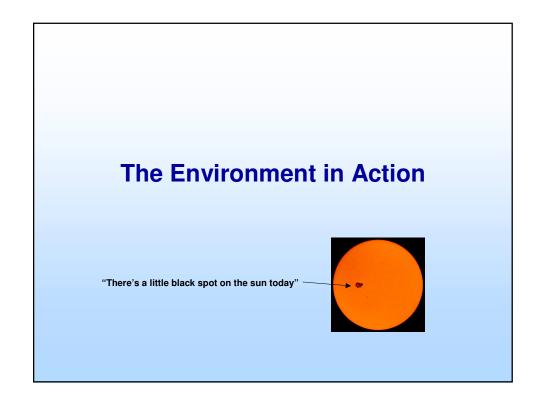
## **Radiation Effects on Electronics** and the Space Environment

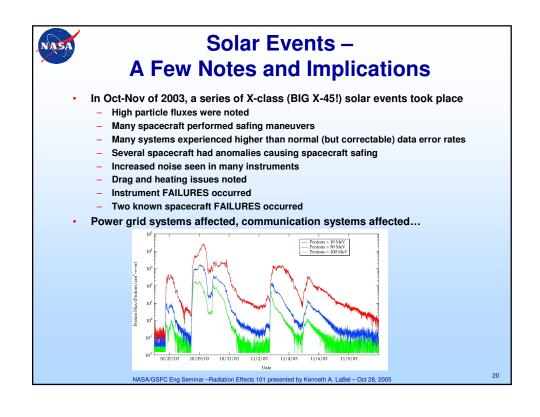
- Three portions of the natural space environment contribute to the radiation hazard
  - Solar particles
    - Protons and heavier ions
      - SEE, TID, DD
  - Free-space particles
    - GCR
      - For earth-orbiting craft, the earth's magnetic field provides some protection for GCR
  - **Trapped particles (in the belts)** 
    - Protons and electrons including the South Atlantic Anomaly (SAA)
      - SEE (Protons)
      - DD, TID (Protons, Electrons)

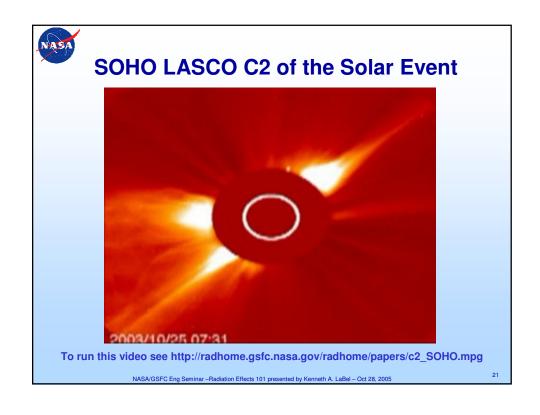


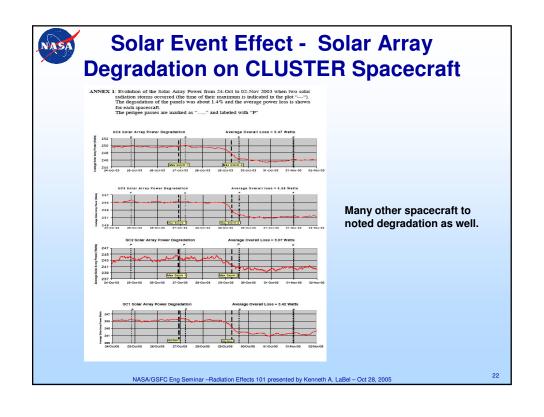
The sun acts as a modulator and source in the space environment

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Type of Event	Spacecraft/ Instrument	Notes
Spontaneous Processor Resets	RHESSI	3 events; all recoverable
	CLUSTER	Seen on some of 4 spacecraft; recoverable
	ChipSAT	S/C tumbled and required ground command to correct
High Bit Error Rates	GOES 9,10	
Magnetic Torquers Disabled	GOES 9, 10, 12	
Star Tracker Errors	MER	Excessive event counts
	МАР	Star Tracker Reset occurred
Read Errors	Stardust	Entered safe mode; recovered
Failure?	Midori-2	
Memory Errors	GENESIS	19 errors on 10/29
	Many	Increase in correctable error rates on solid- state recorders noted in many spacecraft

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## Science Instrument Anomalies During Halloween 2003 Solar Events

Type of Event	Spacecraft/ Instrument	Notes			
Instrument Failure	GOES-8 XRS	Under investigation as to cause			
	Mars Odyssey/Marie	Under investigation as to cause; power consumption increase noted; S/C also had a safehold event – memory errors			
	NOAA-17/AMSU-A1	Lost scanner; under investigation			
Excessive Count Rates	ACE, WIND	Plasma observations lost			
	GALEX UV Detectors	Excess charge – turned off high voltages; Also Upset noted in instrument			
	ACE	Solar Proton Detector saturated			
Upset	Integral	Entered Safe mode			
	POLAR/TIDE	Instrument reset spontaneously			
Hot Pixels	SIRTF/IRAC	Increase in hot pixels on IR arrays; Proton heating also noted			
Safe Mode	Many	Many instruments were placed in Safe mode prior to or during the solar events for protection			

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## **Selected Other Consequences**

- Orbits affected on several spacecraft
- Power system failure
  - Malmo, Sweden
- High Current in power transmission lines
  - Wisconsin and New York
- Communication noise increase
- FAA issued a radiation dose alert for planes flying over 25,000 ft

A NASA-built radiation monitor that can aid anomaly resolution, lifetime degradation, protection alerts, etc.

on, on, etc.

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# NASA Approaches to Electronics: Flight Projects and Proactive Research





It doesn't matter where you go as long as you follow a programmatic assurance approach



#### **NASA Missions –**

## A Wide Range of Needs

- NASA typically has over 200 missions in some stage of development
  - Range from balloon and short-duration low-earth investigations to long-life deep space
  - Robotic to Human Presence
- Radiation and reliability needs vary commensurately



Mars Global Surveyor Dust Storms in 2001

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#### **Implications of NASA Mission Mix**

- >90% of NASA missions require 100 krad(Si) or less for device total ionizing dose (TID) tolerance
  - Single Event Effects (SEEs) are prime driver
     Sensor hardness also a limiting factor
  - Many missions could accept risk of anomalies as long as recoverable over time
- Implications of the Vision for Space Exploration are still TBD for radiation and reliability specifics, however,
  - Nuclear power/propulsion changes radiation issues (TID and displacement damage)
  - Long-duration missions such as permanent stations on the moon require long-life highreliability for infrastructure
    - Human presence requires conservative approaches to reliability
      - Drives stricter radiation tolerance requirements and fault tolerant architectures



Lunar footprint
Courtesy of
NASA archives



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# Summary of Environment Hazards for Electronic Parts in NASA Missions

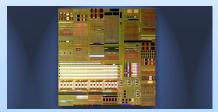
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	Plasma (charging)	Trapped Protons	Trapped Electrons	Solar Particles	Cosmic Rays	Human Presence	Long Lifetime (>10 years)	Nuclear Exposure	Repeated Launch	Extreme Temperature	Planetary Contaminates (Dust, etc)
GEO	Yes	No	Severe	Yes	Yes	No	Yes	No	No	No	No
LEO (low- incl)	No	Yes	Moderate	No	No	No	Not usual	No	No	No	No
LEO Polar	No	Yes	Moderate	Yes	Yes	No	Not usual	No	No	No	No
Shuttle	No	Yes	Moderate	No	No	Yes	Yes	No	Yes	Rocket Motors	No
ISS	No	Yes	Moderate	Yes - partial	Minimal	Yes	Yes	No	No	No	No
Interplanetary	During phasing orbits; Possible Other Planet	During phasing orbits; Possible Other Planet	During phasing orbits; Possible Other Planet	Yes	Yes	No	Yes	Maybe	No	Yes	Maybe
Exploration - CEV	Phasing orbits	During phasing orbits	During phasing orbits	Yes	Yes	Yes	Yes	No	Yes	Rocket Motors	No
Exploration – Lunar, Mars	Phasing orbits	During phasing orbits	During phasing orbits	Yes	Yes	Yes	Yes	Maybe	No	Yes	Yes

Yellow indicates significant Exploration hazards

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# Approach to Insertion of Electronics



IBM CMOS 8SF ASIC

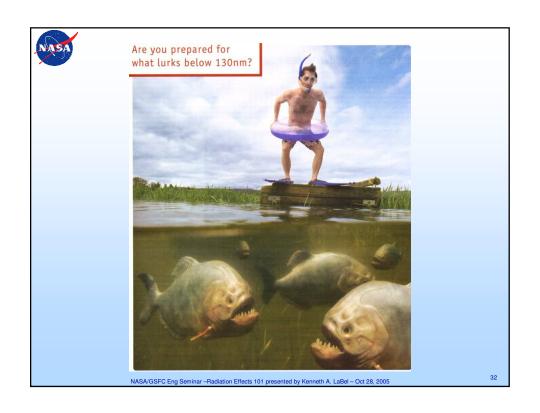
# A Critical Juncture for Space Usage – Commercial Changes in the Electronics World Over the past decade plus, much has changed in the semiconductor world. Among the rapid changes are: - Scaling of technology • Increased gate/cell density per unit area (as well as power and thermal densities) • Changes in power supply and logic voltages (<1V)

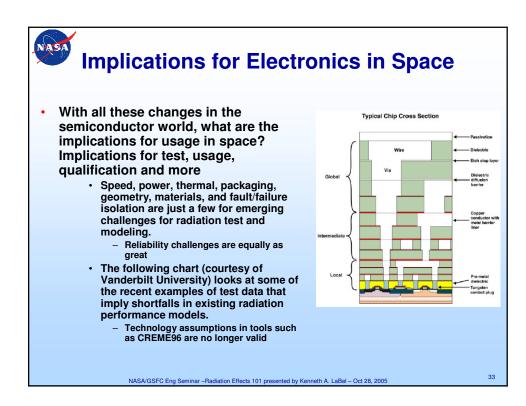
- Reduced electrical margins within a single IC
- Increased device complexity, # of gates, and hidden features
- Speeds to >> GHz (CMOS, SiGe, InP...)
- Changes in materials
  - Use of antifuse structures, phase-change materials, alternative K dielectrics, Cu interconnects (previous – Al), insulating substrates, ultra-thin oxides, etc...
- Increased input/output (I/O) in packaging
   Use of flip-chip, area array packages, etc
- Increased importance of application specific usage to reliability/radiation performance

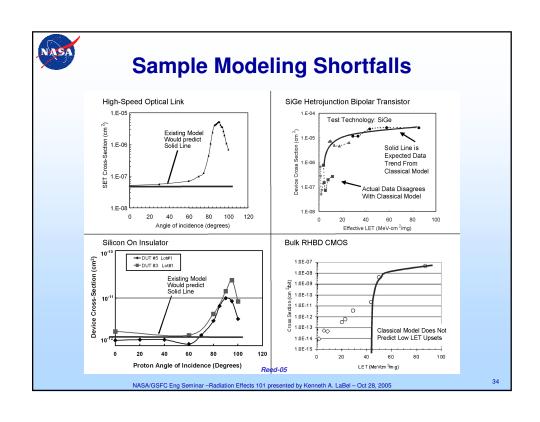
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Solder Ball Rigid Laminates

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Current Status of Radiation Knowledge Maturity for Electronics								
Radiation Response	Guideline Document	Test Method	Data Base	Modeling & Simulation				
SEU/MBU	Yes	Yes	Yes	~ mature				

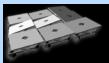
Response	Document	Test Method	Base	Simulation	
SEU/MBU	Yes	Yes	Yes	~ mature	
SET	No	No	No	No	
SEL	Yes	Yes	Yes	No	
SEGR	No	No	No	No	
SEFI	No	No	No	No	
TID	Yes	Yes	Yes	Yes	
Displacement Damage	Yes	Yes	No	No	
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## **Microelectronics: Categories**

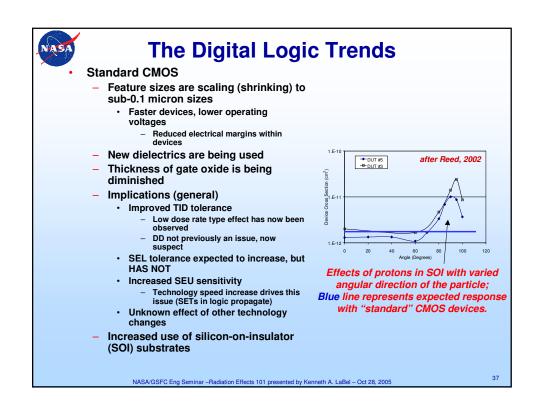
- · Microelectronics can be split several ways
  - Digital, analog, mixed signal, other
  - Complementary Metal Oxide Semiconductor (CMOS), Bipolar, etc...
  - Function (microprocessor, memory, ...)
- There are only two commercial foundries (where they build devices) in the US dedicated to building radiation hardened digital devices
  - Efforts within DoD to provide alternate means of developing hardened devices
    - · Hardened-by-design (HBD)
    - Provides path for custom devices, but not necessarily off-the-shelf devices
  - Commercial devices can have great variance in radiation tolerance from deviceto-device and even on multiple samples of same device
    - · No guarantees!
- Analog foundry situation is even worse
- New technologies have many unknowns
  - Ultra-high speed, nanotechnologies, microelectromechanical systems (MEMS and the optical versions MOEMS), ...
- Note: Commercial-off-the-shelf (COTS) assemblies (e.g., commercial electronic cards or instruments) also may be considered
  - Screening is more complicated than with single devices due to test complexities

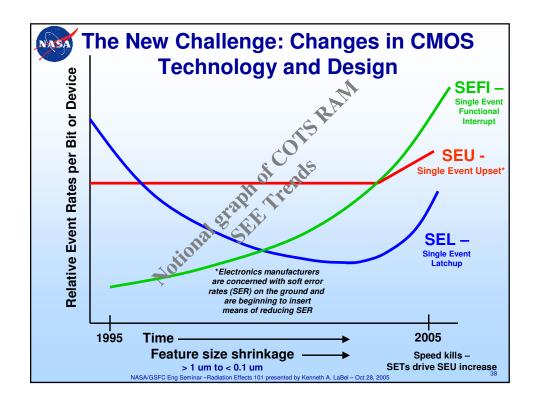
A MOEMS in action

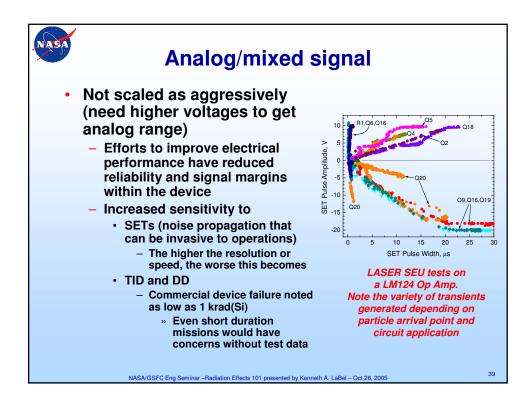


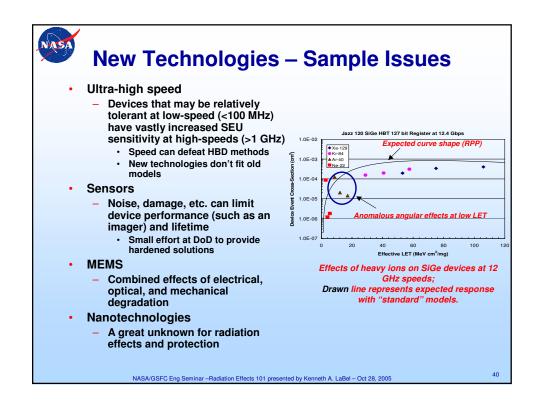
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## Radiation Hardness Assurance (RHA) for Natural Space

- · With commercial technology sensitivity to SEE increasing and limited radiation hardened offerings, a dual approach to RHA needs to be installed
  - A systems approach at the flight mission level, and
  - Proactive investigation into new technologies

Rockwell/Hawaii 2048x2048 5μm HgCdTe NGST FPA (ARC)



Candidate James Webb Space Telescope (JWST) IR array preparing for rad tests. The ultra-low noise requirement of JWST is the driver.

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## A Systematic Approach to Flight **Project Radiation Hardness** Assurance (RHA)



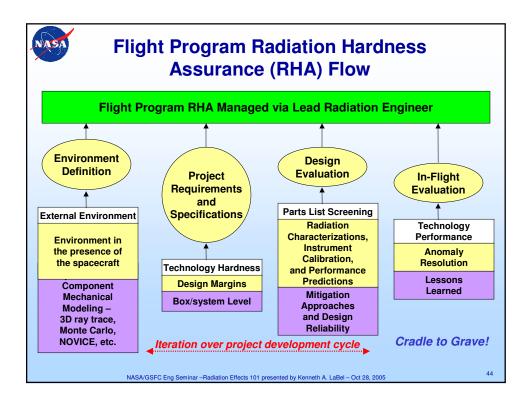
Size, complexity, and human presence are among the factors im deciding how RHA is to be implemented



## Sensible Programmatics for Flight RHA: A Two-Pronged Approach for Missions

- Assign a lead radiation engineer to each spaceflight project
  - Treat radiation like other engineering disciplines
    - · Parts, thermal,...
  - Provides a single point of contact for all radiation issues
    - · Environment, parts evaluation, testing,...
- Each program follows a systematic approach to RHA
  - Develop a comprehensive RHA plan
  - RHA active early in program reduces cost in the long run
    - Issues discovered late in programs can be expensive and stressful
      - What is the cost of reworking a flight board if a device has RHA issues?

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## Radiation and Systems Engineering: A Rational Approach for Space Systems

- Define the Environment
  - External to the spacecraft
- Evaluate the Environment
  - Internal to the spacecraft
- Define the Requirements
  - Define criticality factors
- Evaluate Design/Components
  - Existing data/Testing/Performance characteristics
- "Engineer" with Designers
  - Parts replacement/Mitigation schemes
- Iterate Process
  - Review parts list based on updated knowledge

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## **Define the Hazard**

- The radiation environment external to the spacecraft
  - Trapped particles
    - Protons
    - Electrons
  - Galactic cosmic rays GCRs (heavy ions)
  - Solar particles (protons and heavy ions)
- Based on
  - Time of launch and mission duration
  - Orbital parameters, ...
- · Provides as a minimum
  - GCR fluxes
  - Nominal and worst-case trapped particle fluxes
  - Peak "operate-through" fluxes (solar or trapped)
  - Dose-depth curve of total ionizing dose (TID)

Note: We are currently using static models for a dynamic environment

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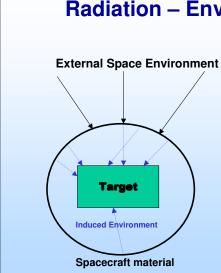


#### **Evaluate the Hazard**

- Utilize mission-specific geometry to determine particle fluxes and TID at locations inside the spacecraft
  - 3-D ray trace (geometric sectoring)
- Typically multiple steps
  - Basic geometry (empty boxes,...) or single electronics box
  - Detailed geometry
    - Include printed circuit boards (PCBs), cables, integrated circuits (ICs), thermal louvers, etc...
- Usually an iterative process
  - Initial spacecraft design
  - As spacecraft design changes
  - Mitigation by changing box location

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- The Physics Models of Space Radiation Environment to Target
  - Predictive model of the external space radiation environment that impinges on the spacecraft
  - Predictive model of the interaction of that environment with the spacecraft
    - •This is the induced or internal environment that impinges on electrical, mechanical, or biological systems
      - •May need to consider spacecraft transport and local material transport separately
  - Predictive model for the effects of the interactions of the induced environment with semiconductor, material, or biological systems (the target)

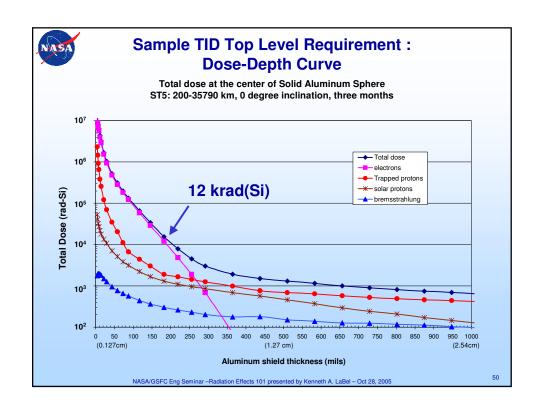
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## **Define Requirements**

- Environment usually based on hazard definition with "nominal shielding" or basic geometry
  - Using actual spacecraft geometry sometimes provides a "less harsh" radiation requirement
- Performance requirements for "nominal shielding" such as 70 mils of Al or actual spacecraft configuration
  - TID
  - DDD (protons, neutrons)
  - SEE
    - · Specification is more complex
    - · Often requires SEE criticality analysis (SEECA) method be invoked
- Must include radiation design margin (RDM)
  - At least a factor of 2
  - Often required to be higher due to device issues and environment uncertainties (enhanced low dose rate issues, for example)

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# System Requirements - SEE Specifications

- For TID, parts can be given A number (with margin)
  - SEE is much more application specific
- SEE is unlike TID
  - Probabilistic events, not long-term
    - Equal probabilities for 1st day of mission or last day of mission
      - Maybe by definition!

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## Sample Single Event Effects Specification (1 of 3)

1. Definitions and Terms

Single Event Effect (SEE) - any measurable effect to a circuit due to an ion strike. This includes (but is not limited to) SEUs, SHEs, SELs, SEBs, SEGRs, and Single Event Dielectric Rupture (SEDR).

Single Event Upset (SEU) - a change of state or transient induced by an energetic particle such as a cosmic ray or proton in a device. This may occur in digital, analog, and optical components or may have effects in surrounding interface circuitry (a subset known as Single Event Transients (SETs)). These are "soft" errors in that a reset or rewriting of the device causes normal device behavior thereafter.

Single Hard Error (SHE) - an SEU which causes a permanent change to the operation of a device. An example is a stuck bit in a memory device.

Single Event Latchup (SEL) - a condition which causes loss of device functionality due to a single event induced high current state. An SEL may or may not cause permanent device damage, but requires power strobing of the device to resume normal device operations.

Single Event Burnout (SEB) - a condition which can cause device destruction due to a high current state in a power transistor.

Single Event Gate Rupture (SEGR) - a single ion induced condition in power MOSFETs which may result in the formation of a conducting path in the gate oxide.

Multiple Bit Upset (MBU) - an event induced by a single energetic particle such as a cosmic ray or proton that causes multiple upsets or transients during its path through a device or system.

Linear Energy Transfer (LET) - a measure of the energy deposited per unit length as a energetic particle travels through a material. The common LET unit is MeV\*cm²/mg of material (Si for MOS devices, etc.).

Onset Threshold LET ( $LET_{tho}$ ) - the minimum LET to cause an effect at a particle fluence of 1E7 ions/cm<sup>2</sup>(per JEDEC). Typically, a particle fluence of 1E5 ions/cm<sup>2</sup> is used for SEB and SEGR testing.

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## Single Event Effects Specification (2 of 3)

- 2. Component SEU Specification
- 2.1 No SEE may cause permanent damage to a system or subsystem.
- 2.2 Electronic components shall be designed to be immune to SEE induced performance anomalies, or outages which require ground intervention to correct. Electronic component reliability shall be met in the SEU environment.
- 2.3 If a device is not immune to SEUs, analysis for SEU rates and effects must take place based on LET $_{th}$  of the candidate devices as follows:

Device Threshold	Environment to be Assessed
LET <sub>th</sub> < 15* MeV*cm <sup>2</sup> /mg	Cosmic Ray, Trapped Protons, Solar Proton Events
LET <sub>th</sub> = 15*-100 MeV*cm <sup>2</sup> /mg	Galactic Cosmic Ray Heavy Ions, Solar Heavy Ions
LET <sub>th</sub> > 100 MeV*cm <sup>2</sup> /mg	No analysis required

- 2.4 The cosmic ray induced LET spectrum which shall be used for analysis is given in Figure TBD.
- 2.5 The trapped proton environment to be used for analysis is given in Figures TBD. Both nominal and peak particle flux rates must be analyzed.
- 2.6 The solar event environment to be used for analysis is given in Figure TBD.
- 2.7 For any device that is not immune to SEL or other potentially destructive conditions, protective circuitry must be added to eliminate the possibility of damage and verified by analysis or test.

\*This number is somewhat arbitrary and is applicable to "standard" devices.

Some newer devices may require this number to be higher.

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## Single Event Effects Specification (3 of 3)

- 2. Component SEU Specification (Cont.)
- 2.8 For SEU, the *criticality* of a device in it's specific application must be defined into one of three categories: error-critical, error-functional, or error-vulnerable. Please refer to the /radhome/papers/seecal.htm Single Event Effect Criticality Analysis (SEECA) document for details. A SEECA analysis should be performed at the system level.
- 2.9 The improper operation caused by an SEU shall be reduced to acceptable levels. Systems engineering analysis of circuit design, operating modes, duty cycle, device criticality etc. shall be used to determine acceptable levels for that device. Means of gaining acceptable levels include part selection, error detection and correction schemes, redundancy and voting methods, error tolerant coding, or acceptance of errors in non-critical areas.
- 2.10 A design's resistance to SEE for the specified radiation environment must be demonstrated.
- 3. SEU Guidelines

Wherever practical, procure SEE immune devices. SEE immune is defined as a device having an LET  $_{th}\!>\!100~\text{MeV}^*\text{cm}^2/\text{mg}.$ 

If device test data does not exist, ground testing is required. For commercial components, testing is recommended on the flight procurement lot.

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## **Notes on System Requirements**

- Requirements do NOT have to be for piecepart reliability
  - For example, may be viewed as a "data loss" specification
    - · Acceptable bit error rates or system outage
  - Mitigation and risk are system trade parameters
  - Environment needs to be defined for YOUR mission (can't use prediction for different timeframe, orbit, etc...)

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# Radiation Design Margins (RDMs)

- How much risk does the project want to take?
- Uncertainties that must be considered
  - Dynamics of the environment
  - Test data
    - · Applicability of test data
      - Does the test data reflect how the device is used in THIS design?
    - · Device variances
      - Lot-to-lot, wafer-to-wafer, device-to-device
- Risk trade
  - Weigh RDM vs. cost/performance vs. probability of issue vs. system reliability etc...

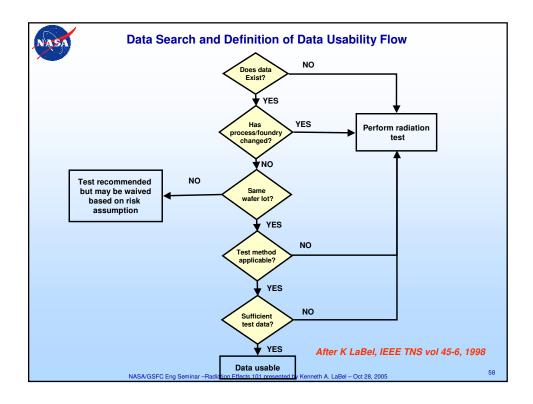
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# **Evaluate Design/Component Usage**

- Screen parts list
  - Use existing databases
    - RADATA, REDEX, Radhome, IEEE TNS, IEEE Data Workshop Records, Proceedings of RADECS, etc.
    - · Evaluate test data: is it applicable?
      - Use historic data with CAUTION!
  - Look for processes or products with known radiation tolerance (beware of SEE and displacement damage!)
    - · BAE Systems, Honeywell Solid State Electronics, UTMC, Harris, etc.
- Radiation test unknowns or non-RH guaranteed devices
- Provide performance characteristics
  - Usually requires application specific information: understand the designer's sensitive parameters
    - · SEE rates
    - TID/DDD

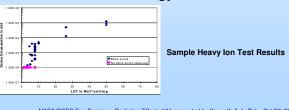
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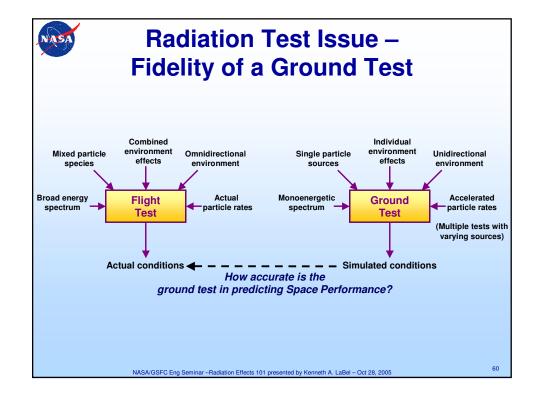


# System Radiation Test Requirements

- All devices with unknown characteristics should be ground radiation tested (TID and SEE)
- All testing should be performed on flight lot, if possible
  - COTS assemblies have many risks and challenges icluding
    - · Fault isolation, statistics, die access, and many more
- Testing should mimic or bound the flight usage, if possible
  - Beware of new technology issues...



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## **Engineer with the Designer**

- Just because a device's radiation hardness may not meet requirements, does NOT necessarily make it unusable
  - Many concerns can be dealt with using mitigative approaches
    - · Hardened by design (HBD) approaches
    - Circuit level tolerance such as error detection and correction (EDAC) on large memory arrays
    - Mechanical approaches (shielding)
    - Application-specific effects (ex., single bad telemetry point or device is only on once per day for 10 seconds or degradation of parameter is acceptable)
    - · System tolerance such as 95% "up-time"
  - The key is what is the effect in THIS application
  - If mitigation is not an option, may have to replace device

Warning: Not all effects can be mitigated safely

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## **Diatribe: Levels of Mitigative Actions**

- Mitigation can take place at many levels
  - Operational
    - Ex., no operation in SAA (proton hazard)
  - System
    - · Ex., redundant boxes/busses
  - Circuit/software
    - Ex., error detection and correction 9EDAC) scrubbing of memory devices by external device or processor
  - Device
    - · Ex., triple-modular redundancy (TMR) of internal logic
  - Transistor
    - · Ex., use of dogbone structure for TID improvement
  - Material
    - Ex., addition of an epi substrate to reduce SEE charge collection (or other substrate engineering)
- · Good engineers can invent infinite solutions, but...

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## **Destructive Conditions - Mitigation**

- Recommendation 1: Do not use devices that exhibit destructive conditions in your environment and application
- Difficulties:
  - May require redundant components/systems
  - Conditions such as low current SELs may be difficult to detect
- Mitigation methods
  - Current limiting
  - Current limiting w/ autonomous reset
  - Periodic power cycles
  - Device functionality check
- Latent damage is also a grave issue
  - "Non-destructive" events may be a false statement!

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## **Latent Damage: Implications to SEE**

- SEL events are observed in some modern CMOS devices
  - Device may not fail immediately, but recover after a power cycling
- However, in some cases
  - Metal is ejected from thin metal lines that may fail catastrophically at some time after event occurrence



SEL test qualification methods need to take latent damage into consideration;
Post-SEL screening for reliability required;
Mitigative approaches may not be effective

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## Final Comments and Future Considerations





#### **RHA - A Few Final Comments**

- · Technology complicates testing
  - Speed, Thermal, Fault Isolation, Packaging: die access!, etc
    - SETs are the "new" effect in digital devices
- A proactive radiation test and modeling program is required to allow successful system RHA
  - Test planning needs to take place early in mission design for critical devices/systems
  - Typical test requires 3 months or more to plan, test, and complete
    - · Complex devices can take > 6 months!
  - Integrated approach provides the lowest risk
    - Designers, radiation lead, systems engineer, etc..



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